Integrated Assurance:

A Comprehensive Solution for Enhanced Governance, Risk, and Compliance

XGRC® SOFTWARE

Table of Contents

OVERVIEW

In today's complex business landscape, large organisations often face significant challenges in managing risk, compliance, and assurance across multiple levels. The Integrated Assurance module from XGRC is built to alleviate these challenges, providing a streamlined, centralised solution that harmonises internal audit and assurance efforts, enabling businesses to focus on what truly matters—growth, innovation, and sustainability.

KEY COMPONENTS

Lines of Defence

Managing risk across an organisation can quickly become convoluted, with different teams operating in silos and miscommunication leading to duplicated efforts or blind spots. The Lines of Defence component of XGRC's Integrated Assurance module provides a clear, structured way to manage and coordinate risk at all levels of the business.

What it solves: This component ensures that no risks fall through the cracks. Whether risks arise in day-to-day operations, during compliance checks, or through internal or external audits, the structured approach of the Lines of Defence eliminates guesswork. It facilitates better communication across teams, ensuring that operational management, risk managers, internal auditors, and external parties are always in sync.

- First Line of Defence – Operational Management: Empowers your operational teams to manage everyday risks effectively, without confusion or overlap.
- Second Line of Defence – Risk Management and Compliance: Establishes a consistent framework for managing and enforcing compliance, ensuring that policies are followed across all business units.
- Third Line of Defence – Internal Audit: Provides a thorough evaluation of the controls in place, giving confidence to the board and stakeholders.
- Fourth Line of Defence – External Assurance Providers: Ensures that external audits are seamlessly integrated, offering independent validation with minimal disruption.

Combined Assurance Control Register

Imagine trying to track hundreds of controls manually across multiple departments—it's an auditor's nightmare. The Combined Assurance Control Register centralises this process, eliminating scattered spreadsheets and fragmented communication. This component gives users a real-time view of control effectiveness, ownership, and risk management, all in one place.

What it solves: Gone are the days of hunting down control owners and status updates. With the Combined Assurance Control Register, everything is documented, tracked, and easily accessible. Organisations save time and reduce the risk of oversight by automating control management and ensuring accountability across all levels.

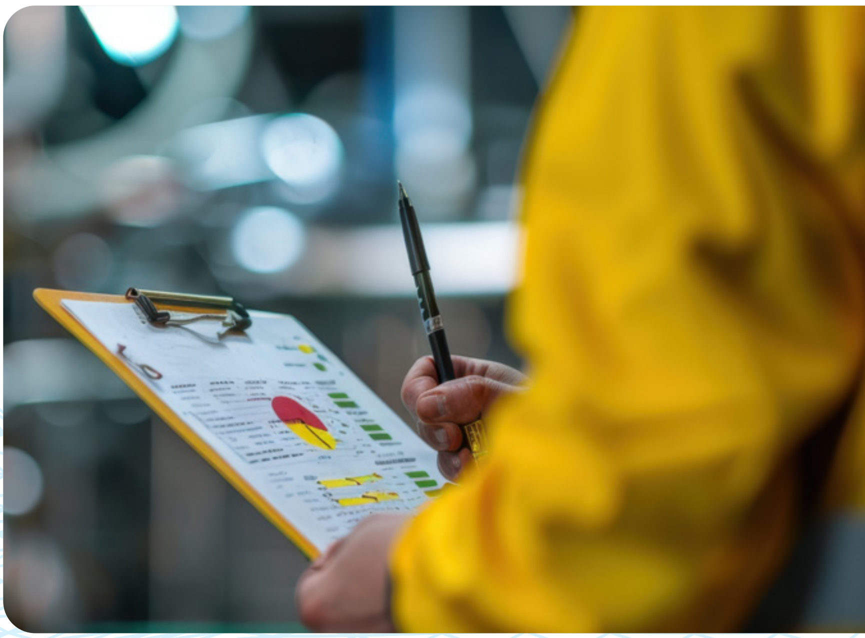
- Control Details: Clearly defines who is responsible for each control, the risk it addresses, and its frequency—so nothing slips through the cracks.
- Control Lines of Defence: Automatically maps each control to the relevant line of defence, giving a comprehensive picture of how risks are managed throughout the organisation.
- Actions: Tracks progress on actions tied to each control, ensuring that any gaps or issues are promptly addressed and resolved.

Control Assessment Template

One-size-fits-all assessments are rarely effective. The Control Assessment Template component allows organisations to tailor their assessments to fit specific needs, reducing the frustration of irrelevant or poorly structured checklists. This customisable solution simplifies the evaluation of control adequacy, effectiveness, and operational performance.

What it solves: Customisation is key. Many organisations struggle with rigid frameworks that don't cater to their unique needs. This template offers the flexibility to create relevant assessments without overcomplicating the process. It eliminates inefficiencies by ensuring that assessments focus only on what matters most to the organisation, ultimately reducing time wasted on irrelevant tasks.

- Customisable Checklists: Design assessments that align with your specific risks and control frameworks, ensuring no time is wasted on irrelevant questions.
- Comprehensive Evaluation: Provides a detailed, structured framework for assessing controls, from risk identification to monitoring, empowering organisations to focus on the most critical areas of risk.

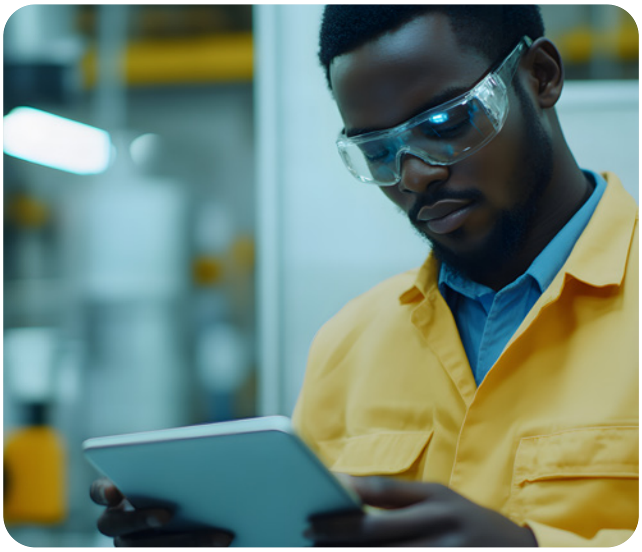


Control Assessment

Control assessments can often feel like an administrative burden, but they are essential to ensuring that risks are managed effectively. The Control Assessment component transforms this process from a tedious exercise into a value-driven activity. It gives organisations the ability to track control assessments in real-time, offering complete transparency into progress, effectiveness, and outcomes.

What it solves: Rather than scrambling for updates or waiting on lengthy reports, this feature puts control assessments at your fingertips. By providing a real-time view of assessments, this tool reduces the time spent chasing information and ensures nothing is overlooked. It empowers decision-makers to act faster and with greater confidence, knowing that control assessments are up to date and accurate.

- Register/View: Provides a single view of all control assessments, ensuring that progress and effectiveness are always visible.
- Record Details: Keeps comprehensive records of all assessments, including dates, scope, and results, enabling better decision-making and planning.



Internal Audit Assurance

Internal audits can be complex, multi-faceted, and time-consuming. The Internal: Audit Assurance component streamlines this process by providing all the tools needed to conduct thorough audits from start to finish. From scheduling to reporting, everything is managed in one place, minimising disruption and maximising efficiency.

What it solves: Audits no longer need to be a logistical headache. This module simplifies audit preparation, execution, and follow-up, ensuring that your organisation remains compliant and that all findings are documented and addressed. By centralising the audit process, it reduces duplication, manual effort, and human error—ultimately improving the quality of audits while freeing up valuable time.

- Register/View: Provides a single source of truth for all internal audit cases, tracking progress and ensuring nothing is missed.
- Configurable Audits: Tailor each audit to the specific needs of your organisation, ensuring that audits are relevant, thorough, and streamlined.
- Record Details: Consolidates audit findings and activities, making follow-up and action management simple and transparent.

CONCLUSION

The XGRC Integrated Assurance module is not just a tool—it's a game-changer for organisations struggling to manage risk, compliance, and assurance effectively. By addressing the core pain points that arise in combined assurance and internal audit processes, this solution offers a way to turn headaches into streamlined, value-driven activities. Organisations using this module can focus on growth and strategy, knowing that their governance, risk, and compliance efforts are handled with precision and clarity.

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